

JC06 Rec'd PCT/PTO 11 OCT 2009

Sheet 01 of 01

Form PTO-1449 Modified		Docket No. DEX0477US.NP	Serial No. Not Yet Assigned 10/553436
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Macina et al.	
		Filing Date Herewith	Group Not Yet Assigned

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>A</i>	AA	NCBI GenBank Accession No. AB027466 [gi:6172220] October 31, 1999 - November 5, 1999 with revision history
<i>A</i>	AB	NCBI GenBank Accession No. AX527926 [gi:25172364] November 21, 2002 with revision history
<i>A</i>	AC	NCBI GenBank Accession No. AX459649 [gi:21725520] July 8, 2002 with revision history
<i>A</i>	AD	NCBI GenBank Accession No. BD127273 [gi:23222218] September 18, 2002
EXAMINER <i>J. Shaffer</i>	DATE CONSIDERED	<i>9/3/01</i>



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<p style="text-align: center;">Form PTO-1449 Modified List of Patents and Publications Cited by Applicant (Use several sheets if necessary)</p> <p style="text-align: center;">U.S. Department of Commerce</p> <p style="text-align: center;">.</p>	<p style="text-align: center;">Docket No.</p> <p style="text-align: center;">DEX0477US.NP</p>	<p style="text-align: center;">Serial No.</p> <p style="text-align: center;">10/553,436</p>
<p style="text-align: center;">Applicant</p> <p style="text-align: center;">Macina et al.</p>		
<p style="text-align: center;">Filing Date</p> <p style="text-align: center;">March 21, 2006</p>		<p style="text-align: center;">Group</p> <p style="text-align: center;">1631</p>

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation YES	NO
A	AB WO 01/94629	12-13-01	PCT	x	
	AC WO 03/059379	7-24-03	PCT	x	
	AD WO 02/29103	4-11-02	PCT	x	
	AE WO 2004/044178	5-27-04	PCT	x	
D	AF WO 2004/110345	12-23-04	PCT	x	

EXAMINER

DATE CONSIDERED

8/7/05

<p>Form PTO-1449 Modified List of Patents and Publications Cited by Applicant (Use several sheets if necessary)</p> <p>U.S. Department of Commerce</p>	<p>Docket No. DEX0477US.NP</p> <p>Applicant Macina et al.</p> <p>Filing Date March 21, 2006</p>	<p>Serial No. 10/553,436</p> <p>Group 1631</p>
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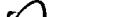
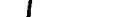
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	AJ	DE 103 16 701	11-4-04	Germany	English Abstract only	
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<p>Filing Date March 21, 2006</p>		<p>Group 1631</p>

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Examiner Initial	Document No.	Date	Country	Translation YES	NO
	AL WO 02/081646	10-17-02	PCT	x	
	AM WO 02/086443	10-31-02	PCT	x	
	AN WO 02/103028	12-27-02	PCT	x	
	AO WO 2004/022709	3-18-04	PCT	x	
	AP WO 03/016475	2-27-03	PCT	x	

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9/6/01

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<p>Group 1631</p>		

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Examiner Initial		Document No.	Date	Country	Translation YES	NO
	AQ	WO 02/078524	10-10-02	PCT	x	
	AR	WO 02/070751	9-12-02	PCT	x	
	AS	WO 96/25173	8-22-96	PCT	x	
	AT	WO 2004/092216	10-28-04	PCT	x	
	AU	EP 1 439 393	7-21-04	EPO	x	

EXAMINER

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8/3/26

<p>Form PTO-1449 Modified List of Patents and Publications Cited by Applicant (Use several sheets if necessary)</p> <p>U.S. Department of Commerce</p>	<p>Docket No. DEX0477US.NP</p>	<p>Serial No. 10/553,436</p>
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<p>Filing Date March 21, 2006</p>	<p>Group 1631</p>	

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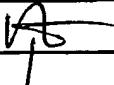
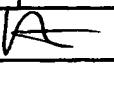
9/7/02

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	Applicant Macina et al.	
	Filing Date March 21, 2006	Group 1631

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Examiner Initial		Document No.	Date	Name	Class	Subclass

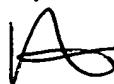
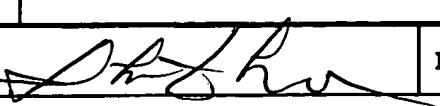
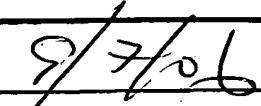
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9/7/06

Form PTO-1449 Modified		Docket No. DEX0477US.NP	Serial No. 10/553,436
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	CA	Aoki et al., "Detection of Peritoneal Micrometastases by Reverse Transcriptase-Polymerase Chain Reaction Targeting Carcinoembryonic Antigen and Cytokeratin 20 in Colon Cancer Patients", J. Exp. Clin. Cancer Res. 2002 21(4):555-562	
	CB	Barnett et al., "Workshop Report:Proposed Nomenclature for the Carcinoembryonic Antigen (CEA) Gene Family", Tumor Biol. 1990 11:59-63	
	CC	Barnett et al., "Carcinoembryonic Antigens:Alternative Splicing Accounts for the Multiple mRNAs that Code for Novel Members of the Carcinoembryonic Antigen Family", J. Cell Biology 1989 108:267-276	
	CD	Barnett et al., "Carcinoembryonic Antigen Family:Characterization of cDNAs Coding for NCA and CEA and Suggestion of Nonrandom Sequence Variation in Their Conserved Loop-Domains", Genomics 1988 3:59-66	
	CE	Barnett et al., "Human Biliary Glycoprotein Gene:Characterization of a Family of Novel Alternatively Spliced RNAs and Their Expressed Proteins", Molecular and Cellular Biology 1993 13(2):1273-1282	
	CF	Beauchemin et al., "Isolation and Characterization of Full-Length Functional cDNA Clones for Human Carcinoembryonic Antigen", Molecular and Cellular Biology 1987 7(9):3221-3230	
	CG	Booth et al., "Phosphatidylinositol 3-Kinases in Carcinoembryonic Antigen-related Cellular Adhesion Molecule-mediated Internalization of Neisseria gonorrhoeae", J. Biol. Chem. 2003 278(16):14037-14045	
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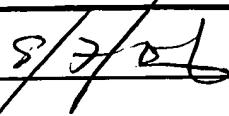
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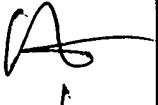
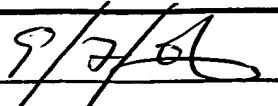
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	CJ	Ilantzis et al., "Deregulated Expression of the Human Tumor Marker CEA and CEA Family Member CEACAM6 Disrupts Tissue Architecture and Blocks Colonocyte Differentiation", <i>Neoplasia</i> 2002 4(2):151-163
	CK	Inazawa et al., "Regional assignment of nonspecific cross-reacting antigen (NCA) of the CEA gene family to chromosome 19 at band q13.2", <i>Cytogenet Cell Genet</i> 1989 52:28-31
	CL	Kamarck et al., "Carcinoembryonic antigen family: Expression in a mouse L-cell transfecant and characterization of a partial cDNA in bacteriophage λgt11", <i>Proc. Natl. Acad. Sci. USA</i> 1987 84:5350-5354
	CM	Khan et al., "Identification of Three New Genes and Estimation of the Size of the Carcinoembryonic Antigen Family", <i>Genomics</i> 1992 14:384-390
	CN	Kirshner et al., "CEACAM1-4S, a cell-cell adhesion molecule, mediates apoptosis and reverts mammary carcinoma cells to a normal morphogenic phenotype in a 3D culture", <i>Proc. Natl. Acad. Sci. USA</i> 2003 100(2):521-526
	CO	Kuroki et al., "Three Novel Molecular Forms of Biliary Glycoprotein Deduced From cDNA Clones from a Human Leukocyte Library", <i>Biochemical and Biophysical Research Communications</i> 1991 176(2):578-585
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	CQ	Louhimo et al., "Serum HCGβ, CA 72-4 and CEA are Independent Prognostic Factors in Colorectal Cancer", <i>Int. J. Cancer</i> 2002 101:545-548
	CR	Markel et al., "Pivotal role of CEACAM1 protein in the inhibition of activated decidual lymphocyte functions", <i>J. Clin. Invest.</i> 2002 110(7):943-953

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	CS	Markel et al., "CD66a Interactions Between Human Melanoma and NK Cells: A Novel Class I MHC-Independent Inhibitory Mechanism of Cytotoxicity", J. Immunol. 2002 168:2803-2810	
	CT	Muenzner et al., "Nuclear Factor- κ B Directs Carcinoembryonic Antigen-related Cellular Adhesion Molecule 1 Receptor Expression in <i>Neisseria gonorrhoeae</i> -infected Epithelial Cells", J. Biol. Chem. 2002 277(9):7438-7446	
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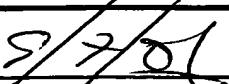
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<i>A</i>	DB	Taheri et al., "The Adhesion and Differentiation-inhibitory Activities of the Immunoglobulin Superfamily Member, Carcinoembryonic Antigen, Can Be Independently Blocked", J. Biol. Chem. 2003 278(17):14632-14639
	DC	Tawaragi et al., "Primary Structure of Nonspecific Crossreacting Antigen (NCA) A Member of Carcinoembryonic Antigen (CEA) Gene Family, Deduced from cDNA Sequence", Biochemical and Biophysical Research Communications 1988 150(1):89-96
	DD	Thies et al., "CEACAM1 Expression in Cutaneous Malignant Melanoma Predicts the Development of Metastatic Disease", J. Clinical Oncology 2002 20(10):2530-2536
	DE	Thompson et al., "Long-Range Chromosomal Mapping of the Carcinoembryonic Antigen (CEA) Gene Family Cluster", Genomics 1992 12:761-772
	DF	Volpert et al., "Inhibition of Prostate Tumor Angiogenesis by the Tumor Suppressor CEACAM1", J. Biol. Chem. 2002 277(38):35696-35702
	DG	Lewicki et al., "Quaternary Structure of Coronavirus Spikes in Complex with Carcinoembryonic Antigen-related Cell Adhesion Molecule Cellular Receptors", J. Biol. Chem. 2002 277(22):19727-19734
	DH	Watt et al., "CD66 Identifies the Biliary Glycoprotein (BGP) Adhesion Molecule:Cloning, Expression, and Adhesion Functions of the BGPs Splice Variant", Blood 1994 84(1):200-210
	DI	Zimmermann et al., "Chromosomal Localization of Carcinoembryonic Antigen Gene Family and Differential Expression in Various Tumors", Cancer Research 1988 48:2550-2554
<i>A</i>	DJ	Zimmermann et al., "Isolation and characterization of cDNA clones encoding the human carcinoembryonic antigen reveal a highly conserved repeating structure", Proc. Natl. Acad. Sci. USA 1987 84:2960-2964

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		Applicant Macina et al.	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
		DK	NCBI Genbank Accession No: NP_002474 [gi:40255013] with Revision History March 19, 1999 - October 27, 2004
		DL	NCBI Genbank Accession No: AAA51972 [gi:180241] with Revision History March 15, 1988 - November 1, 1994
		DM	NCBI Genbank Accession No: AK223101 [gi:62897762] with Revision History April 26, 2005
		DN	NCBI Genbank Accession No: NP_004354 [gi:11386171] with Revision History for 11386171 replaced by 98986445 Novemer 28, 2000 - August 20, 2004
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		DR	NCBI Genbank Accession No: AAH14473 [gi:15680237] with Revision History September 19, 2001 - September 28, 2005
		DS	NCBI Genbank Accession No: NM_001815 [gi:4502792] with Revision History March 19, 1999 - July 8, 2004
EXAMINER		 DATE CONSIDERED 	

Form PTO-1449 Modified		Docket No. DEX0477US.NP	Serial No. 10/553,436
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	DT	NCBI Genbank Accession No: NP_001703 [gi:19923195] with Revision History March 19, 1999 - August 21, 2004
	DU	NCBI Genbank Accession No: M16234 [gi:180240] with Revision History March 15, 1988 - November 1, 1994
	DV	NCBI Genbank Accession No: M59709 [gi:180208] with Revision History January 16, 1991 - March 6, 1995
	DW	NCBI Genbank Accession No: M17191 [gi:180224] with Revision History March 15, 1989 - November 1, 1994
	DX	NCBI Genbank Accession No: M17303 [gi:178676] with Revision History June 15, 1988 - June 7, 1997 (PRI August 8, 1995)
	DY	NCBI Genbank Accession No: BC034671 [gi:21961633] with Revision History July 26, 2002 - June 19, 2006
	DZ	NCBI Genbank Accession No: X16455 [gi:29854] with Revision History April 29, 1991 - August 4, 2003 (PRI July 19, 1995)
	EA	NCBI Genbank Accession No: AC008999 [gi:15281189] with Revision History August 3, 1999 - August 23, 2001
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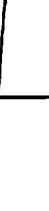
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	EC	NCBI Genbank Accession No: NM_001712 [gi:19923194] with Revision History for 19923194 replaced by 68161539 March 19, 1999 - July 8, 2004
	ED	NCBI Genbank Accession No: AAH05008 [gi:13477107] with Revision History March 28, 2001 - June 30, 2004
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	EL	NCBI Genbank Accession No: AAA62835 [gi:180211] with Revision History January 1, 1990 - March 6, 1995	
	EM	NCBI Genbank Accession No: P40199 [gi:20455477] with Revision History February 1, 1995 - October 1, 2004	
	EN	NCBI Genbank Accession No: P31997 [gi:6166048] with Revision History July 1, 1993 - October 1, 2004	
	EO	NCBI Genbank Accession No: 88918 with Revision History January 1, 1990 - July 23, 1999	
	EP	NCBI Genbank Accession No: 86964 [gi:86964] with Revision History January 1, 1990 - March 10, 2005 (PRI July 23, 1999)	
EXAMINER			DATE CONSIDERED 

9/7/08

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Compositions, Splice Variants and Methods Relating to Cancer Specific Genes and Proteins
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Application Number: 10/553436 *10/553436*
Confirmation Number: 6995
First Named Applicant: Roberto Macina
Attorney Docket Number: DEX0477US.NP
Art Unit: 1631
Examiner: Shubo Zhou
Search string: (5942235 or 6780407 or 5571710 or 5843761 or 20030124579 or 20030232350 or 20040005563 or 20040076955).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	1	5942235	1999-08-24	Paoletti, Enzo		424	232.1
	2	6780407	2004-08-24	Paoletti, Enzo	B1	424	93.2
	3	5571710	1996-11-05	Barnett et al.		435	240.1
4	4	5843761	1998-12-01	Barnett et al.		435	252.33

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
A	1	20030124579	2003-07-03	Mack, David H.	A1	435	6
	2	20030232350	2003-12-18	Afar, Daniel	A1	435	6
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Remarks

Note: Remarks are not for responding to an office action.

Published Patent Applications cited above as Cites 1-4 correspond to WO2003/042661 which published on May 22, 2003.

Signature

Examiner Name	Date
	5/3/06